# Effect of finite thermal conductivity of the separating wall on the performance of counterflow heat exchangers

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Nomenciature		β*	intermediate variable
A, B, G, H, P, Q, r	intermediate variables defined in text	γ	$= \beta_1 + \beta_2 / \nu + 4 \beta_c$ intermediate variables defined in
A <sub>c</sub> A <sub>w</sub>	cross sectional area of the wall log mean heat transfer area of the	e	text heat exchanger effectiveness
С	wall heat capacity flow rate, $\dot{m}c_p$	~	axial conduction parameter = $kA_c/lC_1$
k l	thermal conductivity length of the heat exchanger	<b>θ</b>	$C_1/C_2$ nondimensional temperature
t X	thickness of separating wall	Subscripts	-1 $-1$ 2, in / 1 1, in $-1$ 2, in
A Greek symbols	nonumensional length, $0 \leq x \leq 1$	1	fluid with smaller heat capacity flow rate, $\dot{m}c_p$
B.	$N_{\rm transf}$ of side $1 = h_{\rm t} A_{\rm t} / C_{\rm t}$	2	fluid with larger heat capacity flow rate, mc <sub>p</sub>
$\beta_2$	$N_{\rm tu}$ of side 2 = $h_2 A_2 / C_2$	С	wall
$\beta_{c}$	Effective $N_{tu}$ due to finite wall conductance = $kA_w/tC_1$	e opt	exit optimum

The performance deterioration in counterflow heat exchangers due to axial conduction has been investigated by several authors.<sup>1-3</sup> Based on the original formulation by Landau and Hlinka,<sup>1</sup> Kroeger<sup>3</sup> has computed the overall inefficiency of heat exchangers for a wide range of flow parameters. But in none of these papers has the resistance of the separating wall to lateral heat transfer been explicitly considered. Any attempt to reduce axial conduction by using material of low thermal conductivity for the separating wall results in increased resistance to lateral heat flow, thereby reducing the overall thermal efficiency of the heat exchanger.

Since a wide range of materials ranging from copper and aluminium to plastics<sup>4</sup> are being used in heat exchanger design, it is time to analyse the heat transfer process including both axial conduction and lateral resistance by the separating wall. Chowdhury and Sarangi<sup>5,6</sup> have recently derived an expression for the optimum thermal conductivity of the separating wall in a concentric tube heat exchanger on the basis of minimum-entropy-generation principle:

$$\frac{k_{\text{fluid}}}{k_{\text{wall}}} = \frac{2}{Pe} \left[ 1 + \frac{C_{\text{tube}}}{C_{\text{shell}}} \right]$$
(1)

where Pe is the Peclet Number of the fluid in the (inner) tube and C the heat capacity rate of the fluid (mass flow rate x specific heat). The second law analysis, while predicting the optimum thermal conductivity of the wall, is unable to help in computing the thermal efficiency for a given set of flow parameters. In this paper, we derive an expression for the efficiency of heat exchangers considering



Fig. 1 Longitudinal section of the wall and a schematic temperature profile

both axial conduction and lateral resistance due to the separating wall in terms of relevant nondimensional parameters.

## Theory

The following assumptions are usually valid for concentric tube counterflow heat exchangers used in cryogenic systems: The wall thickness is small compared to tube diameter. (Wall thickness is determined by the pressure difference between the two sides); both ends of the heat exchanger are adiabatic; and there is no heat leak from the surroundings. A longitudinal section of a portion of the heat-exchanger wall is shown in Fig. 1, explaining the nondimensional temperatures ( $\theta$ ) and the heat flow rates ( $\dot{q}$ ) at different locations.

The energy conservation equations for the two fluid streams and the axial heat transfer equation may be expressed in dimensionless form as:

$$\left(\frac{\mathrm{d}}{\mathrm{d}x} + \beta_1\right)\theta_1 - \beta_1\theta_{\mathrm{cl}} = 0 \tag{2}$$

$$\left(\frac{\mathrm{d}}{\mathrm{d}x} - \beta_2\right)\theta_2 + \beta_2\theta_{c2} = 0 \tag{3}$$

$$-\frac{d\theta_1}{dx} + \frac{1}{\nu} \frac{d\theta_2}{dx} + \lambda \frac{d^2\theta_c}{dx^2} = 0$$
 (4)

with boundary conditions:

$$x = 0$$
:  $\theta_1 = 1$  and  $\frac{d\theta_c}{dx} = 0$  (5)

$$x = 1$$
:  $\theta_2 = 0$  and  $\frac{d\theta_c}{dx} = 0$  (6)

The solution of (2) - (6) gives the temperature profiles in the two fluid streams and the wall. The overall inefficiency  $(1 - \epsilon)$  is then given by the expression

$$\frac{H_1 - G_1}{r_1} \exp(-r_1) \frac{H_2 - G_2}{r_2} \exp(-r_2) \frac{H_3 - G_3}{r_3} \exp(-r_3)$$

$$exp(-r_1) exp(-r_2) exp(-r_3)$$

$$1 1 1$$

$$1 - \epsilon = \frac{H_1 \exp(-r_1) - G_1}{r_1} \frac{H_2 \exp(-r_2) - G_2}{r_2} \frac{H_3 \exp(-r_3) - G_3}{r_3}$$

$$exp(-r_1) exp(-r_2) exp(-r_3)$$

$$1 1 1$$

where  $r_j$ ,  $G_j$  and  $H_j$  are functions of the parameters  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$ ,  $\nu$  and  $\lambda$ . The solution procedure and definition of  $r_j$ ,  $G_j$  and  $H_j$  are given in Appendix 1.

For the special case of balanced flow  $(\nu = 1)$  condition, some of the terms in (7) become indeterminate. An alternative derivation is given in Appendix 2 resulting in the expression:

$$1 - \epsilon = \beta_{0c} + B_{1c} \left( 1 - \frac{1}{\beta_1} - \frac{1}{2\beta_c} \right) + \sum_{j=1}^{3} G_j B_{jc} \exp(-r_j)$$
(8)

## Conclusion

For tube-in-tube and shell-and-tube heat exchangers  $\epsilon$  may be expressed in terms of  $\lambda$  and a geometrical parameter l/tas  $\beta_c = \lambda (l/t)^2$ . Then the efficiency  $\epsilon$ , and hence the effective  $N_{tu}$  are functions of five parameters  $\beta_1, \beta_2, l/t, \lambda$  and  $\nu$ . Fig. 2 (a-c) show the dependence of  $N_{tu}$  (effective) on  $\lambda$ , l/t,  $\beta_1$  and  $\nu$  for the case of balanced design ( $\beta_1 = \beta_2$ ). Kroeger<sup>3</sup> has shown that variation of  $\beta_1/\beta_2$  has a negligible effect on the overall inefficiency of the heat exchanger. The optimum value of  $\lambda$  and hence of  $k_{wall}$  agree exactly with (1). The symmetry of the  $N_{tu, eff}$  vs ln  $\lambda$  curve suggests that a material should be chosen for the wall to keep the ratio  $k_{\text{wall}}/k_{\text{opt}}$  (or  $k_{\text{opt}}/k_{\text{wall}}$ , whichever is greater than unity) the lowest. The computed results also confirm that Kroeger's<sup>3</sup> results can be used to predict efficiency if the lateral resistance of the wall is incorporated into the total  $N_{\rm tu}$ . In most practical cases the difference between Kroeger's results and exact calculation is negligible.

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Fig. 2 a – Variation of  $(N_{tu})_{effective}$  with  $\beta_1$  and  $\lambda$ ; b – variation of  $(N_{tu})_{effective}$  with 1/t and  $\lambda$ ; c – variation of  $(N_{tu})_{effective}$  with v and  $\lambda$ 

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## Appendix 1

Since axial conduction is small compared to the total lateral heat flow, the following may be assumed.

$$\theta_c = (\theta_{c1} + \theta_{c2})/2$$

(A1)

(A3)

and

$$\dot{q} = (\dot{q}_1 + \dot{q}_2)/2$$

or

$$\beta_{1} (\theta_{1} - \theta_{cl}) + \frac{\beta_{2}}{\nu} (\theta_{c2} - \theta_{2})$$
  
= 2 \beta\_{c} (\theta\_{cl} - \theta\_{c2}) (A2)

Solving (A1) and (A2)

$$\theta_{cl} = \gamma_1 \theta_1 - \gamma_2 \theta_2 + \gamma_{c2} \theta_c$$

and

$$\theta_{c2} = -\gamma_1\theta_1 + \gamma_2\theta_2 + \gamma_{c1}\theta_c$$

where,

$$\gamma_1 = \beta_1 / \beta^*$$
  

$$\gamma_2 = \beta_2 / \nu \beta^*$$
  

$$\gamma_{c1} = (2\beta_1 + 4\beta_c) / \beta^*$$
  

$$\gamma_{c2} = (2\beta_2 + 4\nu\beta_c) / \nu \beta^*$$
  

$$\beta^* = \beta_1 + \beta_2 / \nu + 4\beta_c$$

The governing equations (2) - (4), then transform to:

$$\left(\frac{\mathrm{d}}{\mathrm{d}x} + \beta_1 - \beta_1 \gamma_1\right)\theta_1 + \beta_1 \gamma_2 \theta_2 - \beta_1 \gamma_{\mathrm{c}2} \theta_{\mathrm{c}} = 0 \quad (A4)$$
$$\left(\frac{\mathrm{d}}{\mathrm{d}x} - \beta_2 + \beta_2 \gamma_2\right)\theta_2 - \beta_2 \gamma_1 \theta_1 + \beta_2 \gamma_{\mathrm{c}1} \theta_{\mathrm{c}} = 0 \quad (A5)$$

$$-\frac{d\theta_1}{dx} + \frac{d\theta_2}{dx} + \lambda \frac{d^2\theta_c}{dx^2} = 0$$
 (A6)

Following Kroeger,<sup>3</sup> the solution may be sought in the form:

$$\theta_{k} = A_{0k} + \sum_{j=1}^{3} A_{jk} \exp(-r_{j}x); k = 1, 2 \text{ and } c$$
(A7)

where  $r_i$  is the non-zero roots of the characteristic equation:

$$\begin{vmatrix} -r + \beta_1 (1 - \gamma_1) & \beta_1 \gamma_2 & -\beta_1 \gamma_{c2} \\ -\beta_2 \gamma_1 & -r - \beta_2 (1 - \gamma_2) & \beta_2 \gamma_{c1} \\ r & -r & \lambda r^2 \end{vmatrix} = 0$$
(A8)

On substituting (A7) into the modified governing equations (A4) – (A6) and equating co-efficients of exp  $(-r_jx)$ ,

$$A_{01} = A_{02} = A_{0c}$$

$$A_{j1} = G_j A_{jc}; j = 1, 2, 3$$

$$A_{j1} = G_j A_{jc}; j = 1, 2, 3$$

$$A_{j1} = G_j A_{jc}; j = 1, 2, 3$$

$$A_{j1} = G_j A_{jc}; j = 1, 2, 3$$

and

 $A_{j2} = H_j A_{jc}; j = 1, 2, 3$ 

where,

$$G_{j} = \frac{4\beta_{1}\beta_{2}\beta_{c} + 2\beta_{1}(\beta_{2}/\nu + 2\beta_{c})r_{j}}{4\beta_{1}\beta_{2}\beta_{c} + \frac{1}{(1/\nu - 1)\beta_{1}\beta_{2}} + 4\beta_{c}(\beta_{1} - \beta_{2}) r_{j} - \beta^{*}r_{j}^{2}}$$

and

$$H_{j} = \frac{4\beta_{1}\beta_{2}\beta_{c} - 2\beta_{2}(\beta_{1} + 2\beta_{c})r_{j}}{4\beta_{1}\beta_{2}\beta_{c} + \{(1/\nu - 1)\beta_{1}\beta_{2} + 4\beta_{c}(\beta_{1} - \beta_{2})\}r_{j} - \beta^{*}r_{j}^{2}}$$

The constants  $A_{jc}$  may be determined by substituting (A9) into the boundary conditions (5) and (6).

$$A_{\rm oc} = \frac{1}{P} \sum_{Q} \frac{H_1}{r_1} \exp(-r_1) \left[ \exp(-r_2) - \exp(-r_3) \right]$$
(A11)

and

$$A_{1c} = -\frac{1}{P} \frac{1}{r_1} \left[ \exp(-r_2) - \exp(-r_3) \right]$$
(A12)

where  $\sum_{Q}$  represents a cyclic sum over the subscripts 1, 2 and 3 and  $A_{2c}$  and  $A_{3c}$  obtained by a cyclic permutation of suffixes in (A12). In (A11) and (A12),

$$P = \sum_{Q} \frac{H_1 \exp(-r_1) - G_1}{r_1} \left[ \exp(-r_2) - \exp(-r_3) \right]$$
(A13)

Inefficiency of the heat exchanger,

$$1 - \epsilon = \theta_{1e} = [\theta_1]_{x=1}$$

$$= A_{01} + \sum_{j=1}^{3} A_{j1} \exp(-r_j)$$
  
=  $A_{0c} + \sum_{j=1}^{3} G_j A_{jc} \exp(-r_j)$   
=  $A_{0c} - \frac{1}{P} \sum_{\alpha} \frac{G_1}{r_1} \exp(-r_1) [\exp(-r_2) - \exp(-r_3)]$ 

Using (A11),

$$1 - \epsilon = \frac{1}{P} \sum_{Q} \frac{H_1 - G_1}{r_1} \exp(-r_1) \left[ \exp(-r_2) - \exp(-r_3) \right]$$
(A14)

Equation (A14) may be expressed in the form of determinants to yield (7).

#### Appendix 2

(A10)

In the case of balanced flow ( $\nu = 1$ ), two of the roots of the characteristic equation (A8) are zero and the solution will be of the form:

$$\theta_{k} = B_{0k} + B_{1k} x + \sum_{j=2}^{3} B_{jk} \exp(-r_{j}x); k = 1, 2 \text{ and } c$$
(A15)

The arbitrary constants  $B_{ik}$  (i = 0, 1, 2, 3) may be determined by substituting (A15) into governing equations (A4) – (A6) and boundary conditions (5) and (6).

$$B_{01} = B_{0c} - \frac{B_{1c}}{\beta_1} \left( 1 + \frac{\beta_1}{2\beta_c} \right)$$

$$B_{02} = B_{0c} + \frac{B_{1c}}{\beta_2} \left( 1 + \frac{\beta_2}{2\beta_c} \right)$$

$$B_{11} = B_{12} = B_{1c}$$

$$B_{j1} = G_j B_{jc}; j = 2, 3$$

$$B_{j2} = H_j B_{jc}; j = 2, 3$$

$$B_{0c} = \frac{1}{Q} \left[ - \left( \frac{1}{\beta_2} + \frac{1}{2\beta_c} + 1 \right) \right]$$

$$r_2r_3 [\exp(-r_2) - \exp(-r_3)] - H_2r_3 \exp(-r_2)$$

$$[1 - \exp(-r_3) + H_3r_2 \exp(-r_3) [1 - \exp(-r_2)]$$

$$B_{1c} = \frac{1}{Q} \left[ r_2 r_3 \left[ \exp(-r_2) - \exp(-r_3) \right] \right]$$
(A16)  
$$B_{2c} = \frac{r_3}{Q} \left[ 1 - \exp(-r_3) \right]$$
  
$$B_{3c} = -\frac{r_2}{Q} \left[ 1 - \exp(-r_2) \right]$$

•

where,

$$Q = -\left(\frac{1}{\beta_1} + \frac{1}{\beta_2} + \frac{1}{\beta_c} + 1\right)r_2r_3$$

 $[\exp(-r_2) - \exp(-r_3)]$ 

+ 
$$[G_2 - H_2 \exp(-r_2)] r_3 [1 - \exp(-r_3)]$$
  
-  $[G_3 - H_3 \exp(-r_3)] r_2 [1 - \exp(-r_2)]$  (A17)

Inefficiency of the heat exchanger:

$$1 - \epsilon = [\theta_1]_{x=1}$$
  
=  $B_{01} + B_{11} + \sum_{j=2}^{3} B_{j1} \exp(-r_j)$   
=  $B_{0c} + B_{1c} \left(1 - \frac{1}{\beta_1} - \frac{1}{2\beta_c}\right)$   
+  $\sum_{j=2}^{3} G_j B_{jc} \exp(-r_j)$  (A18)