Fault classification and location using HS-transform and radial basis function neural network

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Abstract

A new approach for protection of transmission lines has been presented in this paper. The proposed technique consists of preprocessing the fault current and voltage signal sample using hyperbolic S-transform (HS-transform) to yield the change in energy and standard deviation at the appropriate window variation. After extracting these two features, a decision of fault or no-fault on any phase or multiple phases of the transmission line is detected, classified, and its distance to the relaying point found out using radial basis function neural network (RBFNN) with recursive least square (RLS) algorithm. The ground detection is done by a proposed indicator ‘index’. As HS-transform is very less sensitive to noise compared to wavelet transform, the proposed method provides very accurate and robust relaying scheme for distance protection.

Keywords: Distance protection; Energy change calculations; HS-transform; RBFNN

1. Introduction

Different types of transient phenomena occur on the transmission line. From these transient phenomena, faults on transmission lines need to be detected, classified, located accurately, and cleared as fast as possible. In power transmission line protection, faulty phase identification and location of fault are the two most important items which need to be addressed in a reliable and accurate manner. Distance relaying techniques based on the measurement of the impedance at the fundamental frequency between the fault location and the relaying point have attracted widespread attention. The sampled voltage and current data at the relaying point are used to locate and classify the fault involving the line with or without fault resistance present in the fault path. The accuracy of the fault classification and location also depends on the amplitude of the dc offset and harmonics in comparison to the fundamental component. Fourier transforms, differential equations, waveform modeling and Kalman filters, and wavelet transforms are some of the techniques used for fault detection and location calculation [1–6]. Some of the recent papers in this area [3,4,6] have used only the sampled current values at the relaying point during faults for classification of fault types and distance calculations.

In recent years, neural networks are trained to recognize fault patterns associated with the voltage and current waveforms from the relaying point due to their superior ability to learn and generalize from training patterns. However, in the fault classification and location tasks, the neural networks cannot produce accurate results due to the inaccuracies in the input phasor data and the requirement of a large number of neural networks for different categories of fault.

Another pattern recognition technique based on wavelet transform has been found to be an effective tool in monitoring and analyzing power system disturbances including power quality assessment [13] and system protection against faults. Although wavelets provide a variable window for low and high frequency currents in the voltage and current waveforms during faults, their capabilities are often significantly degraded owing to the existence of noises riding high on the signal [7]. In particular, as the spectrum of the noises coincides with that of the transient signals, the effects of noises cannot be excluded by means of some kinds of filters without affecting the performance of the wavelet transform. Another powerful time–frequency anal-
ysis known as S-transform has found applications in geoscience and power engineering [8–10]. The S-transform is an invertible time–frequency spectral localization technique that combines elements of wavelet transforms and short-time Fourier transform. The S-transform uses an analysis window whose width is decreasing with frequency providing a frequency dependent resolution. This transform may be seen as a continuous wavelet transform with a phase correction. It produces a constant relative bandwidth analysis like wavelets while it maintains a direct frequency domain, with consequent interference between major frequencies where a window is wider and frequency resolution is less critical, a more asymmetrical window may be used to prevent the event from appearing too far ahead on the S-transform.

Thus an hyperbolic window of the form given below is used.

\[
W_{hy}(\tau, f, \alpha) = \frac{2f}{\sqrt{2 \pi (a_{hy} + \beta_{hy})^2}} \exp \left( -\frac{f^2 \tau^2}{2} \right)
\]

(4)

where

\[
X = a_{hy} + \beta_{hy}(\tau - \xi) + \frac{a_{hy} \beta_{hy}}{2a_{hy} \beta_{hy}} \sqrt{(\tau - \xi)^2 + \lambda_{hy}}
\]

(5)

In the above expression \(0 < a_{hy} < \beta_{hy}\) and \(\xi\) is defined as

\[
\xi = \sqrt{(\beta_{hy} - a_{hy})^2 - 4a_{hy} \beta_{hy}}
\]

(6)

The translation by \(\xi\) ensures that the peak \(W_{hy}\) occurs at \(\tau - \xi = 0\). At \(f = 0\), \(W_{hy}\) is very asymmetrical, but when \(f\) increases, the shape of \(W_{hy}\) converges towards that of the symmetrical Gaussian window \(W_{gs}\) given in Eq. (2). For different values of \(a_{hy}\) and \(\beta_{hy}\) and with \(\lambda_{hy} = 1\), Fig. 1 shows the nature of the window as the function of time \(\tau - \xi\). As seen from the figure the change in the shape from an asymmetrical window to a symmetrical one occurs more rapidly with increasing \(f\). The discrete version of the hyperbolic S-transform of the faulted voltage and current signal samples at the sampling point is calculated as

\[
S(n, j) = \sum_{m=0}^{N-1} H(m + n)G(m, n) \exp(2\pi m j)
\]

(7)

where \(N\) is the total number of samples and the indices \(n, m, j\) are \(n = 0, 1, \ldots, N - 1, m = 0, 1, \ldots, N - 1\) and \(j = 0, 1, \ldots, N - 1\).

The \(G(m, n)\) denotes the Fourier transform of the hyperbolic window and is given by

\[
G(m, n) = \frac{2f}{\sqrt{2 \pi (a_{gs} + \beta_{gs})^2}} \exp \left( -\frac{f^2 \lambda_{gs}^2}{2(\lambda_{gs})^2} \right)
\]

(8)

In applications, which require simultaneous identification time–frequency signatures of different faulted phase currents and voltages, it may be advantageous to use a window having frequency dependent asymmetry. Thus, at high frequencies where the window is narrowed and time resolution is good, a more symmetrical window needs to be chosen. On the other hand, at low frequencies where a window is wider and frequency resolution is less critical, a more asymmetrical window may be used to prevent the event from appearing too far ahead on the S-transform.

2. Hyperbolic S-transform for feature extraction

The original S-transform [8] is defined as

\[
S(\tau, f) = \int_{-\infty}^{\infty} h(t) \left\{ \frac{|f|}{\sqrt{2 \pi}} \exp \left( -\frac{f^2 (t - \tau)^2}{2} \right) \right\} \exp(-2\pi i f \tau) \, dt
\]

(1)

where \(S\) denotes the S-transform of \(h(t)\), which is the actual fault current or voltage signal varying with time, frequency is denoted by \(f\), and the quantity \(\tau\) is a parameter which controls the position of Gaussian window on the time-axis. A small modication of the Gaussian window has been suggested for better performance.

\[
W_{gs}(\tau - t, f, a_{gs}) = \frac{|f|}{\sqrt{2 \pi a_{gs}}} \exp \left( -\frac{f^2 (\tau - t)^2}{2a_{gs}} \right)
\]

(2)

and the S-transform with this window is given by

\[
S(\tau, f, a_{gs}) = \int_{-\infty}^{\infty} |h(t)| \omega(\tau - t, f, a_{gs}) \exp(-2\pi i f \tau) \, dt
\]

(3)

where \(a_{gs}\) is to be chosen for providing suitable time and frequency resolution.

In applications, which require simultaneous identification time–frequency signatures of different faulted phase currents and voltages, it may be advantageous to use a window having frequency dependent asymmetry. Thus, at high frequencies where the window is narrowed and time resolution is good, a more symmetrical window needs to be chosen. On the other hand, at low frequencies where a window is wider and frequency resolution is less critical, a more asymmetrical window may be used to prevent the event from appearing too far ahead on the S-transform.
here

\[
X = \frac{(\alpha_0 + \beta_0)}{2\alpha_0 \beta_0} + \frac{\beta_0 - \alpha_0}{2\alpha_0 \beta_0} \left( \sqrt{1 + \lambda^2} \right) \tag{9}
\]

and \( H(m, n) \) is the frequency shifted discrete Fourier transform \( H[n] \) and is given by

\[
H(m) = \frac{1}{N} \sum_{n=0}^{N-1} h(k) \exp(-j2\pi nk) \tag{10}
\]

The computational steps of the hyperbolic S-transform are:

(i) \( H[n] \) of the faulted voltage and current wave form samples are calculated and shifted to give \( H[n+m] \);

(ii) the localizing hyperbolic Gaussian window \( G[m, n] \) is evaluated;

(iii) \( H[n+m] \) and \( G[m, n] \) are multiplied and the inverse Fourier transform of the product is found out to give the rows of \( S[n, j] \) corresponding to the frequency \( n \).

The hyperbolic S-transform is found to be a complex matrix \( S[N, N] \).

3. System studied

The model network shown in Fig. 3 has been simulated using PSCAD (EMTDC) package. The network having two areas connected by the transmission line of 400 kV. The transmission line has zero sequence impedance \( Z(0) = 96.45 + j335.26/\Omega \) and positive sequence impedance \( Z(1) = 9.78 + j110.23/\Omega \) and \( E_S = 400 \text{ kV} \), \( E_R = 400 \angle \frac{9254}{\text{kV}} \). The relaying point is as shown in Fig. 3, where data is retrieved for different conditions. Isolation of over voltage and high frequency components can be performed according to the required level of decomposition and reconstruction. The sampling rate is 1.0 kHz at 50 Hz base frequency. The change in energy and standard deviation are calculated from the S-transform of the current and voltage signal one cycle ahead and one cycle back from the fault inception.

Fig. 4 shows the fault voltage and current signal for three phase fault. The proposed scheme is depicted as in Fig. 5.

4. Fault classification

4.1. Feature extraction

For faulty phase identification or fault classification only current signal is preprocessed through HS-transform to find out the features. The HS-transform outputs of the faulted current signal for different types of faults at 10–90% of the line with different incidence angles, source impedance and fault resistances are used to provide the following pertinent features, which can be used to classify the type of fault. Change in the signal energy and standard deviation of the HS-transform contour are obtained as

\[
ce = E_f - E_A = \{\text{abs}(hs)_f\}^2 - \{\text{abs}(hs)_n\}^2 \tag{11}
\]

and

\[
sd = \text{std}(\text{abs}(hs)) \tag{12}
\]

where \( hs \) the HS-transform coefficient is for one cycle ahead of fault inception and \( hs \) is the HS-transform coefficients for one cycle before the inception of the fault. For faulted phase identification, simulations are carried out for faults at intervals of 10 km from the sending end for a total line length of 300 km. For each of these fault locations inception angle \( (\delta) \), fault resistance \( (R_f) \) and source impedance \( (Z_s) \) are varied to provide the change in
energy and standard deviation as presented in Tables 1 and 2. From the tables, it is seen that the faulted phases exhibit high output in the form of change in energy (ce) and standard deviation (sd) in comparison to the un-faulted phases.

Table 1
Change in energy (ce) and sd values for different faults ($R_f = 20\, \Omega$, fault at 10%, inception angle $180^\circ$, source impedance $Z_s = 5 + j 30\, \Omega$)

<table>
<thead>
<tr>
<th>Fault</th>
<th>a</th>
<th>b</th>
<th>c</th>
</tr>
</thead>
<tbody>
<tr>
<td>ce</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>sd</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LG</td>
<td>49.637</td>
<td>0.0269</td>
<td>3.3263</td>
</tr>
<tr>
<td>bg</td>
<td>2.1633</td>
<td>0.0592</td>
<td>1.0604</td>
</tr>
<tr>
<td>cag</td>
<td>42.5899</td>
<td>0.5139</td>
<td>2.4216</td>
</tr>
<tr>
<td>LL</td>
<td>9.9518</td>
<td>0.1473</td>
<td>8.1618</td>
</tr>
<tr>
<td>abc</td>
<td>28.3046</td>
<td>0.3894</td>
<td>19.6354</td>
</tr>
<tr>
<td>LLLG</td>
<td>33.7419</td>
<td>0.4424</td>
<td>16.2684</td>
</tr>
</tbody>
</table>

Bold values show the faulted phase.

For a line-to-line ground (LG) type, it is found from Tables 1 and 2 that the change in energy ce depends on the magnitude of the fault resistance, $R_f$, the value of ce is less for higher values of $R_f$. It is found from these tables that the current

Table 2
Change in energy (ce) and sd values for different faults ($R_f = 200\, \Omega$, fault at 30%, inception angle $180^\circ$, source impedance $Z_s = 6 + j 30\, \Omega$)

<table>
<thead>
<tr>
<th>Fault</th>
<th>a</th>
<th>b</th>
<th>c</th>
</tr>
</thead>
<tbody>
<tr>
<td>ce</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>sd</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LG</td>
<td>15.8385</td>
<td>0.2117</td>
<td>0.2464</td>
</tr>
<tr>
<td>bg</td>
<td>0.9986</td>
<td>0.0647</td>
<td>11.2101</td>
</tr>
<tr>
<td>cag</td>
<td>13.441</td>
<td>0.1825</td>
<td>0.4681</td>
</tr>
<tr>
<td>LL</td>
<td>12.3698</td>
<td>0.1759</td>
<td>10.2365</td>
</tr>
<tr>
<td>LLLG</td>
<td>13.4585</td>
<td>0.1874</td>
<td>8.5023</td>
</tr>
</tbody>
</table>

Bold values show the faulted phase.
signals in the faulted phases exhibit greater ce and standard deviation values in comparison to the un-faulted phases. Here \( c_a, c_e, c_c \) represent change in energy and \( s_d, s_a, s_d \) represent standard deviation in a, b and c phases, respectively.

4.2 Classification using radial basis function neural network (RBFNN)

Even if HS-transform gives information regarding the faulty phase involved, the RBFNN classifier is used to classify faults and to connect the hidden layer and output layer. The RBFNN is used to detect the faulty phase or multiple phases involving fault. The RBFNN [14] used here has an HS-transform. RBFNN is used to detect the faulty phase or multiple threshold values to the parameters for fault identification in the proposed method to overcome the error due to assigning the center. The RBFNN classifier is used to classify faults of faults (ag, bg, cg, abg, bcg, cag, ab, bc, ca, abc, abcg). The RBFNN consists of three outputs representing 'a', 'b', 'c' phases. During training these outputs are assigned '1' or '0' considering whether the fault is involved with that phase or not. For example, 'ab' fault case the output will be assigned '1 1 0'. The learning rate of the RBFNN is 0.1 and the center and the weights are updated in every iteration that is by new training input to the RBFNN. Here only fault current signal is considered for feature extraction. Six inputs to the RBFNN fault classifier consisting of ce(t) and sd(t) values of all the three phases ('t' represents only current signal) are presented to the RBFNN and correspondingly three outputs are generated from the RBFNN, which gives the faulty phases involved. The RBFNN architecture for fault classification is shown in Fig. 6.

The performance of the RBFNN is tested for ce and sd values of different faults with varying location and fault resistance. Tables 3–6 present some of the classification results for faulted transmission line.
for 10% of the line and 45° inception angle for $R_f = 20$ and 200 Ω. The respective values in $a$, $b$, $c$ columns for ‘ab’ case with $R_f = 20$ Ω, $a = 1.0098$, $b = 0.9947$, $c = 0.0298$ depicts the phases involved with the fault are ‘a’ and ‘b’ only. The classification

<table>
<thead>
<tr>
<th>Fault type</th>
<th>$R_f = 20$ Ω</th>
<th>$R_f = 200$ Ω</th>
</tr>
</thead>
<tbody>
<tr>
<td>$a$</td>
<td>0.9995</td>
<td>0.9987</td>
</tr>
<tr>
<td>$b$</td>
<td>0.0325</td>
<td>0.0125</td>
</tr>
<tr>
<td>$c$</td>
<td>0.9947</td>
<td>0.0541</td>
</tr>
<tr>
<td>$ab$</td>
<td>1.0048</td>
<td>1.0063</td>
</tr>
<tr>
<td>$bc$</td>
<td>0.0036</td>
<td>0.0025</td>
</tr>
<tr>
<td>$cb$</td>
<td>1.0012</td>
<td>1.0041</td>
</tr>
<tr>
<td>$abg$</td>
<td>1.0088</td>
<td>0.0089</td>
</tr>
<tr>
<td>$bcg$</td>
<td>0.0036</td>
<td>0.0044</td>
</tr>
<tr>
<td>$cag$</td>
<td>0.9945</td>
<td>0.0069</td>
</tr>
<tr>
<td>$abc$</td>
<td>1.0032</td>
<td>0.0023</td>
</tr>
<tr>
<td>$abcg$</td>
<td>1.0005</td>
<td>0.0019</td>
</tr>
</tbody>
</table>

Fig. 7. Flow chart for fault classification.
current signals of every kind of fault at various locations, fault resistance, and inception angle. Observation of all test results ascertains that the RBFNN performs excellent even at different inception angles, fault location and fault resistance and pre-fault loading conditions.

4.3. Ground detection

Usually RBFNN may not give ground detection properly. Therefore ground detection task is not included in the RBFNN classifier. For detecting the involvement of ground, an index is proposed as given below:

\[
\text{index} = \min(ce_a, ce_b, ce_c)
\]

The ground detection is carried out in conjunction with the RBFNN classification. Test result showing the values of index for ab, abc, abg faults at 10% of line and fault resistances of 0 and 200 Ω are given in Table 7. When the index value exceeds the threshold value of 0.005, it indicates the involvement of fault with ground. This value of index has been tested for different types of fault with various operating conditions.

5. Fault location using RBFNN

Once the fault is classified, the control unit activates the fault locating RBFNN to locate the fault. Here RBFNN with RLS algorithm is used to build the fault locator. In this case the learning rate of the RBFNN is 0.1 and the center and the weights are updated in every iteration that is by new training input to the RBFNN. Here for fault location both voltage and current signal types of fault with various operating conditions are considered and tuned through HS-transform to yield change in energy and standard deviation. There are 12 inputs consisting of 6 for current signal (ce(i) and S.D(i) for each phase) and 6 for voltage signal (S.V(i) and S.D.V(i) for each phase) are fed to the RBFNN and correspondingly one output is generated from the RBFNN which is the distance of the fault from the relaying point. The RBFNN has been trained using 3000 sets of data that comprises ce and sd for faulted current and voltage signals of every kind of fault at various locations (15, 25, 35, 45, 55, 65, 75, 85, 95% of transmission line), fault resistance, and inception angle. Here for fault location both voltage and current signal are considered and tuned through HS-transform to yield change in energy and standard deviation. There are 12 inputs consisting of 6 for current signal (ce(i) and S.D(i) for each phase) and 6 for voltage signal (S.V(i) and S.D.V(i) for each phase) are fed to the RBFNN and correspondingly one output is generated from the RBFNN which is the distance of the fault from the relaying point.

The percentage error is computed as

\[
\text{error} (%) = \frac{|\text{actual distance} - \text{calculated distance}|}{\text{protected line length}} \times 100
\]

### Table 4
Fault at 70% of line with 60° inception angle

<table>
<thead>
<tr>
<th>Fault type</th>
<th>Rf = 20 Ω</th>
<th>Rf = 200 Ω</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
<tr>
<td>b</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
<tr>
<td>c</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
</tbody>
</table>

### Table 5
Fault at 50% of line with 90° inception angle

<table>
<thead>
<tr>
<th>Fault type</th>
<th>Rf = 20 Ω</th>
<th>Rf = 200 Ω</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
<tr>
<td>b</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
<tr>
<td>c</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
</tbody>
</table>

### Table 6
Fault at 70% of line with 90° inception angle

<table>
<thead>
<tr>
<th>Fault type</th>
<th>Rf = 20 Ω</th>
<th>Rf = 200 Ω</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
<tr>
<td>b</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
<tr>
<td>c</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
</tbody>
</table>
The location error shown in Tables 8–12 for 10, 30, 50, 70, 90% and L-G, LL-G, LL, LLL, LLL-G fault with fault resistance $R_f$ from 0 to 200 Ω. The location error for 10% of line with L-G fault with $R_f = 0$ Ω is 1.01% and with $R_f = 200$ Ω is 0.89%. Likewise Tables 9–12 show the percent error for LL-G, LL, LLL, and LLL-G fault, respectively. The error is the least in case of L-G fault that is 0.89% at 10% of the transmission line.

6. Conclusion

An efficient fault classification and location determination using HS-transform and RBFNN is presented in this paper. HS-transform based time frequency analysis is used for feature extraction by computing the standard deviation and change in energy at varying window. After feature extraction, RBFNN is used for faulty phase detection and classification. The change in energy and standard deviation are the input to the RBFNN, which provides the output for classification. The output is very nearly ‘1’ for faulty phase and ‘0’ for un-faulted phase. The classification result given in Tables 3–6 shows the effectiveness of RBFNN for accurately identifying the faulted phase. Once the faulty phases are identified, the fault distance can be computed by RBFNN. RBFNN locator gives the distance of the fault from the relaying point and the error calculated for all kinds of faults is below 2%. The trained networks are capable of providing fast
and precise classification and location for different types faults with various inception angle and fault resistance.

References


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