

# A COMPARISON STUDY OF RECEIVER PERFORMANCES ON ULRATWIDE BAND INDOOR WIRELESS CHANNEL MODEL

Susmita Das, IEEE Member and Bikramaditya Das

*Department of Electrical Engineering*

*National Institute of Technology, Rourkela -769008, India*

[sdas@nitrkl.ac.in](mailto:sdas@nitrkl.ac.in)

[adibik09@gmail.com](mailto:adibik09@gmail.com)

**Abstract-** For high data rate ultra wideband communication system, performance comparison of Rake, MMSE and Rake-MMSE receivers is attempted in this paper. The effect of the number of Rake fingers and equalizer taps on the error performance by combating ISI are the major focus of our research. The bit error rate performances are investigated using semi analytical approach and Monte-Carlo simulation on IEEE 802.15.3a UWB channel models. Simulation results show that the bit error rate probability of rake-mmse receiver is better than individual Rake and MMSE receiver. The simulation results are illustrated using non-line of sight indoor wireless channel model (CM3 and CM4). It was observed that RAKE-MMSE receiver structure gives superior performance in CM3 channel model with small delay spread compared to CM4 channel model.

**Index Terms-** UWB, rake receiver, mmse, rake-mmse, IEEE 802.15a.

## I. INTRODUCTION

Ultra-wideband (UWB) has recently evoked great interest and its potential strength lies in its use of extremely wide transmission bandwidth. Furthermore, UWB is emerging as a solution for the IEEE 802.15a (TG3a) standard which is to provide a low complexity, low cost, low power consumption and high data-rate among Wireless Personal Area Network (WPAN) devices. An aspect of UWB transmission is to combat multipath propagation effects. Rake receivers can be employed since they are able to provide multipath diversity [1-3]. Another aspect is to eliminate or combat the inter-symbol interference (ISI) which distorts the transmitted signal and causes bit errors at the receiver, especially when the transmission data rate is very high as well as for which are not well synchronized. In [1] and [3], the “rake decorrelating effect” was mentioned as a way to combat ISI. Combination of spatial diversity combining and equalization is a well established scheme for frequency selective fading channels. In [5], a combined rake and equalizer structure was proposed for high data rate UWB systems. In this paper, the performance of a rake-MMSE-equalizer receiver similar to [5] is investigated for different number of rake fingers and equalizer taps using a semi-analytical approach. We propose at first to study time equalization with combined Rake-mmse equalizer structure. We show that, for a MMSE equalizer operating at low to medium SNR's, the number of Rake fingers is the dominant factor to improve system performance, while, at high SNR's

the number of equalizer taps plays a more significant role in reducing error rates[7-8].

The rest of the paper is organized as follows. In Section II we study the signals and system model for IEEE UWB channel modelling. Section III is devoted principles of equalizations and receiver structure. In section IV we study performance analysis for Rake-MMSE receiver. Simulation results are discussed in Section V. Section VI concludes the paper.

## II. SIGNALS AND SYSTEM MODEL

For a single user system, the continuous transmitted data stream is written

$$s(t) = \sum_{k=-\infty}^{+\infty} d(k) \cdot p(t - kT_s) \quad (1)$$

Where  $d(k)$  stationary uncorrelated BPSK data and  $T_s$  are symbol duration. Throughout this paper we consider the application of a root raised cosine (RRC) transmit filter  $p(t)$  with roll-off factor  $\alpha = 0.3$ . The UWB pulse  $p(t)$  has duration  $T_{uwb}$  ( $T_{uwb} < T_s$ ).

The channel models used in this paper are the model proposed by IEEE 802.15.3a Study Group [10]. In the normalized models provided by IEEE 802.15.3a Study Group, different channel characteristics are put together under four channel model scenarios having rms delay spreads ranging from 5 to 26 nsec. For this paper two kinds of channel models, derived from the IEEE 802.15 channel modelling working group, are considered and named CM3 and CM4 channels. The first one CM3 corresponds to a non-line of sight communication with range 4-10 meters. The second CM4 corresponds to a strong dispersion channel with delay spread of 26 nsec. The impulse response can be written as

$$h(t) = \sum_{p=0}^M h_p \cdot \delta(t - \tau_p) \quad (2)$$

where parameter  $M$  is the total number of paths in the channel.

## III. PRINCIPLE OF RECEIVERS STRUCTURE

### III.A RAKE RECEIVER

Rake receivers are used in time-hopping impulse radio systems and direct sequence spread spectrum systems (DS-SS) for matched filtering of the received signal [9]. The receiver structure consists of a matched filter that is matched to the

transmitted waveform that represents one symbol, and a tapped delay line that matches the channel impulse response. It is also possible to implement this structure as a number of correlators that are sampled at the delays related to specific number of multipath components; each of those correlators can be called “Rake finger.” A Rake receiver structure is shown in Fig.1.

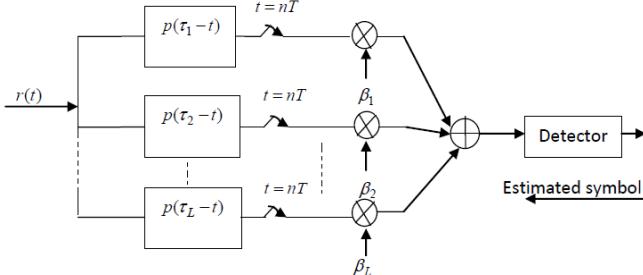


Fig.1. UWB RAKE receiver structure

The received signal first passes through the receiver filter matched to the transmitted pulse and is given by

$$\begin{aligned} r(t) &= s(t) * h(t) * p(-t) + n(t) * p(-t) \\ &= \sum_{k=-\infty}^{+\infty} d(k) \sum_i^{\Lambda} h_i m(t - kT_s - \tau_i) + n(t) \end{aligned} \quad (3)$$

Where  $p(-t)$  represents the receiver matched filter, “\*”

stands for convolution operation and  $n(t)$  is the additive white Gaussian noise (AWGN) with zero mean and variance  $N_0/2$ .

Also,  $m(t) = p(t) * p(-t)$  and  $\overset{\Lambda}{n}(t) = n(t) * p(t)$ .

Combining the channel impulse response (CIR) with the transmitter pulse shape and the matched filter, we have

$$\tilde{h}(t) = p(t) * h(t) * p(-t) = \sum_{i=0}^M h_i m(t - \tau_i) \quad (4)$$

The output of the receiver filter is sampled at each Rake finger. The minimum Rake finger separation is  $T_m = T_s / N_u$ , where  $N_u$  is chosen as the largest integer value that would result in  $T_m$  spaced uncorrelated noise samples at the Rake fingers

$$v(nT_s + \tau'_l + t_0) = \sum_{k=-\infty}^{+\infty} h((n-k)T_s + \tau'_l + t_0)d(k) \quad (5)$$

where  $\tau'_l$  is the delay time corresponding to the  $l^{\text{th}}$  Rake finger and is an integer multiple of  $T_m$ . Parameter  $t_0$  corresponds to a time offset and is used to obtain the best sampling time. Without loss of generality,  $t_0$  will be set to zero in the following analysis.

### III.B MMSE STRUCTURE

In reality the noise component due to the physical channel cannot be ignored. In the presence of additive Gaussian noise at the receiver input, the output of the equalizer at the  $n^{\text{th}}$  sampling instant is given by

$$\hat{y}_n = \sum_{k=-N}^N b_k r_{n-k} \quad (6)$$

The mean square error (MSE) for the equalizer having  $2N+1$  taps, denoted by  $J(N)$  is

$$J(N) = E |x_n - \hat{y}_n|^2 = E \left[ \left( x_n - \sum_{k=-N}^N b_k r_{n-k} \right)^2 \right] \quad (7)$$

$J(N)$  with respect to the equalizer coefficients ( $b_k$ ) is obtained by the following differentiation:

$$\frac{dJ(N)}{db_k} = 0 \quad (8)$$

Equation (7) leads to the necessary condition for the minimum MSE given by

$$R_r b = R_{xr} \quad b = R_r^{-1} R_{xr} \quad (9)$$

WHERE

$$R_{xr} = (R_{xr}(-N) \dots R_{xr}(0) \dots R_{xr}(N))^T \quad (10)$$

$$R_r = \begin{bmatrix} R_r(0) & \dots & R_r(N) & \dots & R_r(2N) \\ \vdots & & \vdots & & \vdots \\ R_r(-2N+1) & R_r(-N+1) & & R_r(1) & \\ R_r(-2N) & R_r(-N) & & R_r(0) & \end{bmatrix} \quad (11)$$

### III.C RAKE-MMSE STRUCTURE

The receiver structure is illustrated in Fig. 2 and consists in a Rake receiver followed by a linear equalizer. As we will see later on, a structure gives better performances over UWB channels when the number of equalizer taps is sufficiently large. The received signal first passes through the receiver filter matched to the transmitted pulse (3). The output of the receiver filter is sampled at each Rake finger. The minimum Rake finger separation is  $T_m = T_s / N_u$ , where  $N_u$  is chosen as the largest integer value that would result in  $T_m$  spaced uncorrelated noise samples at the Rake fingers(4). In a first approach, complete channel state information (CSI) is assumed to be available at the receiver. For general selection combining, the Rake fingers ( $\beta$ 's) are selected as the largest  $L$  ( $L \leq N_u$ ) sampled signal at

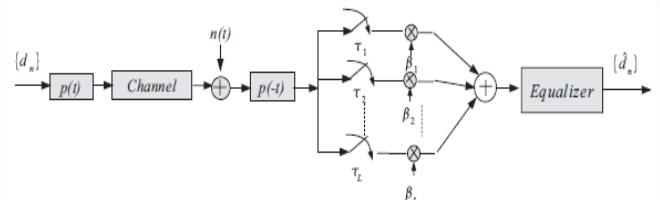


Fig.2. UWB RAKE-MMSE receiver structure

the matched filter output within one symbol time period at time instants  $\tau_l$ ,  $l = 1, 2, \dots, L$ . In fact, since a UWB signal has a very wide bandwidth, a Rake receiver combining all the paths of the incoming signal is practically unfeasible. This kind of Rake receiver is usually named an ARake receiver. A feasible implementation of multipath diversity combining can be

obtained by a selective-Rake (SRake) receiver, which combines the  $L$  best, out of  $N_u$ , multipath components. Those  $L$  best components are determined by a finger selection algorithm. For a maximal ratio combining (MRC) Rake receiver, the paths with highest signal-to-noise ratios (SNRs) are selected, which is an optimal scheme in the absence of interfering users and intersymbol interference (ISI). For a minimum mean square error (MMSE) Rake receiver, the “conventional” finger selection algorithm is to choose the paths with highest signal-to-interference-plus-noise ratios (SINRs) [2]. Our case doesn’t deal with multiuser UWB communication but we study channels with high delay dispersion, so the first criterion ( $L$  highest SNR’s) can be chosen. The noiseless received signal sampled at the  $l^{\text{th}}$  Rake finger in the  $n^{\text{th}}$  data symbol interval is given by equation (5)

The Rake combiner output at time  $t = n.T_s$  is

$$y[n] = \sum_{l=1}^L \beta_l \cdot v(n.T_s + \tau_l) + \sum_{l=1}^L \beta_l \cdot \hat{d}(n.T_s + \tau_l) \quad (12)$$

Choosing the correct Rake finger placement leads to the reduction of ISI and the performance can be dramatically improved when using an equalizer to combat the remaining ISI. Considering the necessary tradeoff between complexity and performance, a sub-optimum classical criterion for updating the equalizer taps is the MMSE criterion. In the next section, we derive the MMSE-based equalizer tap coefficients.

#### IV. PERFORMANCE ANALYSIS

In this part, due to the lack of place we will only discuss the matrix block computation of linear equalizers. Furthermore, we suppose perfect channel state information (CSI). Assuming that the  $n$  data bit is being detected, the MMSE criterion consists in minimizing

$$E \left[ \left| d(n) - \hat{d}(n) \right|^2 \right]$$

where  $d(n)$  is the equalizer output. Rewriting the Rake output signal, one can distinguish the desired signal, the undesired ISI and the noise as

$$\begin{aligned} y(n) = & \left[ \sum_{l=1}^L \beta_l \cdot \tilde{h}(\tau_l) \right] d(n) + \sum_{k \neq n} \sum_{l=1}^L \beta_l \cdot \tilde{h}((n-k)T_s + \tau_l) d(k) \\ & + \sum_{l=1}^L \beta_l \cdot \hat{d}(n.T_s + \tau_l) \end{aligned} \quad (13)$$

where the first term is the desired output. The noise samples at different fingers,  $n(n.T_s + \tau_l)$ ,  $l = 1 \dots L$ , are uncorrelated and therefore independent, since the samples are taken at approximately the multiples of the inverse of the matched filter bandwidth. It is assumed that the channel has a length of  $(n_1 + n_2 + 1)T_s$ . That is, there is pre-cursor ISI from the subsequent  $n_1$  symbols and post-cursor ISI from the previous  $n_2$  symbols, and  $n_1$  and  $n_2$  are chosen large enough to include the majority of the ISI effect. Using (8), the Rake output can be expressed now in a simple form as

$$\begin{aligned} y(n) = & \alpha_0 \cdot d(n) + \sum_{\substack{k=-n_1 \\ k \neq 0}}^{n_2} \alpha_k \cdot d(n-k) + \tilde{n}(n) \\ = & \phi^T d[n] + \tilde{n}(n) \end{aligned} \quad (14)$$

where coefficient  $\alpha_k$ ’s are obtained by matching (13) and (14).

$$\phi = [\alpha_{n_1} \dots \alpha_0 \dots \alpha_{n_2}] \text{ and } d[n] = [d(n+n_1) \dots d(n) \dots d(n-n_2)]^T$$

The superscript denotes the transpose operation. The output of the linear equalizer is obtained as

$$\hat{d}(n) = \sum_{r=-K_1}^{K_2} c_r \cdot y(n-r) = c^T \gamma(n) + c^T \eta(n) \quad (15)$$

where  $c = [c_{-K_1} \dots c_0 \dots c_{K_2}]$  contains the equalizer taps. Also

$$\gamma[n] = [\phi^T d[n+K_1] \dots \phi^T d[n] \dots \phi^T d[n-K_2]]^T \quad (16)$$

$$\eta[n] = [\tilde{n}(n+K_1) \dots \tilde{n}(n) \dots \tilde{n}(n-K_2)]^T \quad (17)$$

The mean square error (MSE) of the equalizer,

$$E[d(n) - c^T \gamma[n] - c^T \eta[n]]^2 \quad (18)$$

which is a quadratic function of the vector  $c$ , has a unique minimum solution. Here, the expectation is taken with respect to the data symbols and the noise. Defining matrices  $R$ ,  $p$  and  $N$  as

$$R = E[\gamma[n]\gamma^T[n]] \quad (19)$$

$$p = E[d(n)\gamma[n]] \quad (20)$$

$$N = E[\eta[n]\eta^T[n]] \quad (21)$$

The equalizer taps are given by

$$c = (R + N)^{-1} \cdot p \quad (22)$$

and the MMSE is

$$J_{\min} = \sigma_d^2 - p^T (R + N)^{-1} \cdot p \quad (23)$$

$$\sigma_d^2 = E[|d(n)|^2]$$

Evaluating the expectation over  $R$  and  $p$  with respect to the data and the noise, we have

$$p = [\alpha_K \dots \alpha_0 \dots \alpha_{-K_2}]^T \quad R = [r_{i,j}]_{K_1+K_2+1, K_1+K_2+1} \quad (24)$$

Where

$$r_{i,j} = \phi^T F_{i,j} \phi \quad \text{and} \quad F_{i,j} = [f_{i,k}]_{n_1+n_2+1, n_1+n_2+1}$$

$$f_{ik} = \begin{cases} 1, & i - k = j - i \\ 0, & i - k \neq j - i \end{cases} \quad (25)$$

$$\text{Also : } N = E[\eta[n]\eta^T[n]] = \frac{N_0}{2} \left( \sum_{l=1}^L \beta_l^2 \right) I_{K_1+K_2+1} \quad (26)$$

Where  $I$  is the identity matrix. This Rake-equalizer receiver will eliminate ISI as far as the number of equalizer’s taps gives the degree of freedom required. In general, the equalizer output can be expressed as

$$\hat{d}(n) = q_0 \cdot d(n) + \sum_{i=0}^{K_2} q_i \cdot d(n-i) + w(n) \quad (27)$$

with  $q_n = \alpha_n \cdot c_n$

The variance of  $w(n)$  is

$$\sigma_{w(n)}^2 = \left( \sum_{i=-K_1}^{K_2} c_i^2 \right) \left( \sum_{l=1}^L \beta_l^2 \right) \cdot E_p \cdot \frac{N_0}{2} \quad (28)$$

Where  $E_p$  is the pulse energy.

Where matrix 0 is the all zero matrix. The MMSE feedback

taps are then obtained in terms of feed forward taps and matrix  $U$ .

$$\cdot [c_1 \dots c_{K_2}] = [c_{K_1} \dots c_0] U^T \quad (29)$$

Conditioned on a particular channel realization,  $h = [h_1 \dots h_L]$ , an upper bound for the probability of error using the chernoff bound technique given by

$$P(\hat{d}_n \neq d_n | h) \leq \exp\left(-\frac{1 - J_{\min}}{2J_{\min}} / \sigma_d^2\right) \quad (30)$$

An exact BER expression with independent noise and ISI terms can be expressed as a series expansion is given by

$$P(\hat{d}_n \neq d_n | h) = \frac{1}{2} - \frac{2}{\pi} \sum_{\substack{z=1 \\ z \text{ odd}}}^{\infty} \frac{\exp(-z^2 w^2 / 2) \sin(zwq_0)}{z} \times \prod_{\substack{n=N_1 \\ n \neq 0}}^{N_2} \cos(zwq_n) \quad (31)$$

Note that ISI comes from the interfering symbols in the range of  $N_1 T_s$  and  $N_2 T_s$ . Parameter  $z$  and  $w$  determine the accuracy of the error rate given by (30).

we can simply set the  $q_i$ 's that are within the span of the feedback taps to be 0, which corresponds to zero post-cursor ISI for the span of feedback taps.

## V. SIMULATION STUDY AND ANALYSIS

### V.A SIGNAL WAVEFORM

The pulse shape adopted in the numerical calculations and simulations is the second derivative of the Gaussian pulse given by

$$w(t) = [1 - 4\pi(t/\varepsilon)^2] \exp(-2\pi(t/\varepsilon)^2) \quad (32)$$

The pulse waveform is showed as figure2

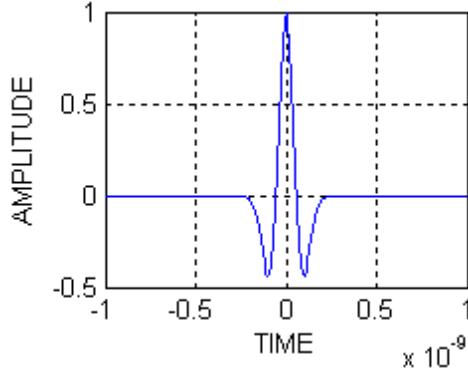


Fig.3. Second derivative of Gaussian pulse

### V.B CHANNEL MODEL PARAMETER

As we mentioned it before, we study the case of UWB channels CM3 and CM4. For the root raised cosine (RRC) pulse, we use an oversampling factor of eight. According to this sampling rate, time channel spread is chosen equal to 100 for CM4 and 70 for CM3, this corresponds to respectively  $12 = 100 / 8$  and  $9 = 70 / 8$  transmitted symbols. This choice enables to gather 99% of the channel energy.

The CM3 and CM4 indoor channel model is adopted in simulation. Then create a non-stadia transmission model (NLOS) channel which is given in figure 4 and figure 5:

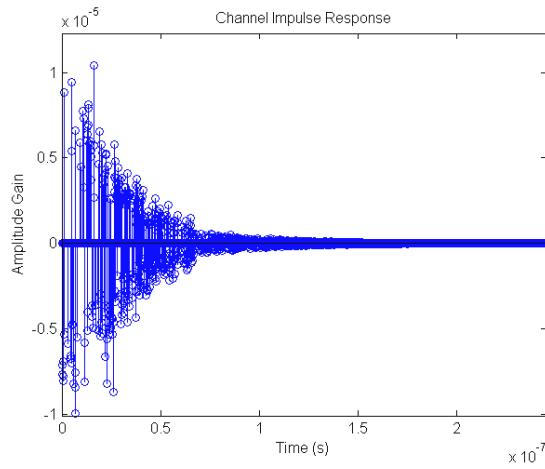


Fig.4. Channel Impulse Response of CM3 (NLOS)

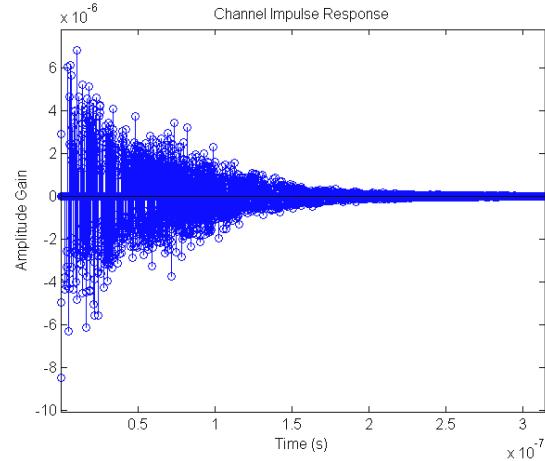


Fig.5. Channel Impulse Response of CM4 (NLOS)

### V.C BER ANALYSIS

In the case of time domain equalization, we have at first to optimize the number of Rake fingers and the number of equalizer taps. The Rake fingers are regularly positioned according to time channel spread and the number of fingers. For example, in the case of CM4 channel, with  $L = 10$ . The performances are computed by Monte-Carlo computer simulations, using a training sequence. The data rate is chosen to be 400 Mbps, one of the optional data rates proposed for IEEE standard. The size of the transmitted packets is equal to 2560 BPSK symbols including a training sequence of length 512. CIR remains constant over the time duration of a packet. The root raised cosine (RRC) pulse with roll off factor  $\alpha = 0.5$  is employed as the pulse-shaping filter. Fig. 6 Fig. 7 shows the effect rake, mmse and rake-mmse using Monte-Carlo simulation. The Rake combiner output at time  $t = n T_s$ . The BER simulation results obtained using CM3 channel data is shown in Fig.6. As expected, using an MMSE equalizer to compensate for ISI, a relative improvement is observed. The

major comparison lies in the rake-mmse receiver versus the Rake receiver. Rake-mmse receiver has around 1.8dB performance improvement compared to a channel with rake receivers for CM3 channel.

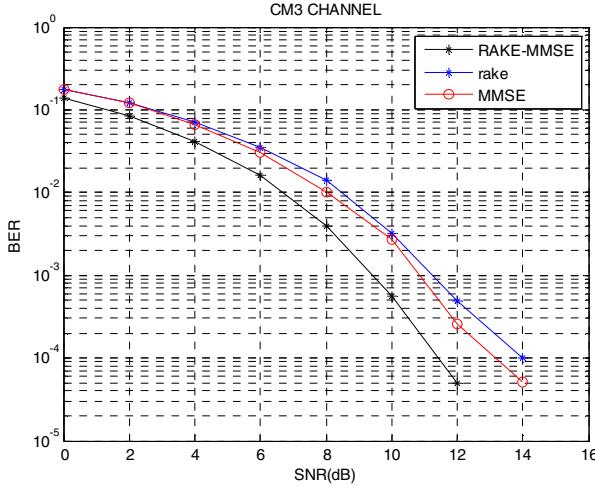


Fig.6. Performance of UWB receivers for CM3 channel

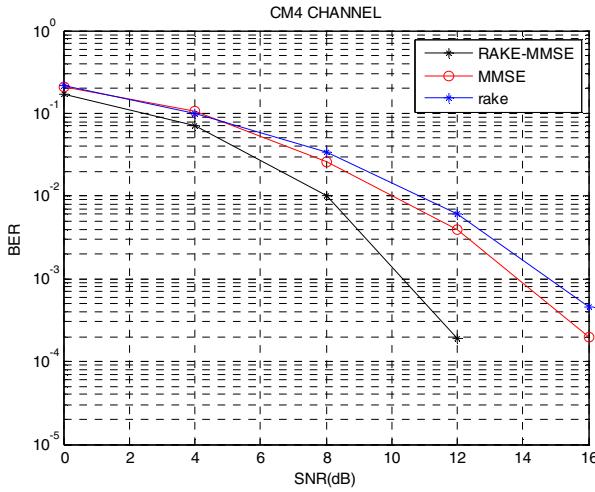


Fig.7. Performance of UWB receivers for CM4 channel

Using CM4 channel data, the simulation results obtained as shown in Fig.7. Using Rake-MMSE, i.e. rake-mmse and a rake receiver a gain of around 4dB is observed. This result can be explained by considering the fact that at high SNR's it is mainly the ISI that affects the system performance whereas at low SNR's the system noise is also a major contribution in system degradation (more signal energy capture is required). The performance dramatically improves when the number of Rake fingers and the equalizer taps are increased simultaneously in Rake-MMSE receiver. As expected the receiver has better performance over CM3 with smaller delay spread than CM4.

## VI. CONCLUSION

UWB is emerging as a solution for the IEEE 802.15a (TG3a) standard which is to provide a low complexity, low cost, low power consumption and high data-rate among Wireless Personal Area Network (WPAN) devices. For high data rate short range, the receivers combat inter-symbol interference by taking advantage of the Rake and equalizer structure by using different UWB channel models CM3 and CM4. For a MMSE equalizer operating at low to medium SNR's, the number of Rake fingers is the dominant factor to improve system performance, while, at high SNR's the number of equalizer taps plays a more significant role in reducing error rates. One can observe a BER floor at high SNR's the receiver has better performance over CM3 with smaller delay spread than CM4 in non-line of sight indoor channel models. Rake-mmse receiver has around 1.8dB performance improvement compared to a channel with rake receivers for CM3 channel. These architecture has opened up new directions in designing efficient receivers for UWB wireless system which can be implemented in DSP processors for real - time applications.

## REFERENCES

- [1] A. Rajeswaran, V.S. Somayazulu and J. Foerster, "Rake performance for a pulse based UWB system in a realistic UWB indoor channel", *IEEE ICC'03*, vol. 4, pp. 2879-2883, May 2003.
- [2] S. Gezici, H. V. Poor and H. Kobayashi, "Optimal and Suboptimal Finger Selection Algorithms for MMSE Rake Receivers in Impulse Radio Ultra-Wideband Systems", in *Proc IEEE WCNC 2005*, New-Orleans, Mar. 2005.
- [3] J. R. Foerster, "The effect of multipath interference on the performance of UWB systems in an indoor wireless channel", in *Proc. 2001 Spring Vehicular Technology Conf.*, pp. 1176-1180, May 2001.
- [4] J. D. Choi and W. E. Stark, "Performance of ultra-wideband communications with suboptimal receivers in multipath channels", *IEEE J. Select. Areas Commun.*, vol. 20, pp. 1754-1766, Dec. 2002.
- [5] D. Cassioli, M. Z. Win, F. vAtalaro and F. Molisch, "Performance of low-complexity RAKE reception in a Realistic UWB channel", in *Proc. Int. Conf. Commun. (ICC)*, vol. 2, May 2002, pp. 763-767.
- [6] T. Strohmer, M. Emanu, J. Hansen, G. Papanicolaou and A. J. Paulraj, "Application of Time-Reversal with MMSE Equalizer to UWB Communications", in *Proc. IEEE Globecom'04*, vol. 5, pp. 3123-3127, Dec. 2004.
- [7] B. Mielczarek, M. O. Wessman and A. Svensson, "Performance of Coherent UWB Rake Receivers With Channel Estimators", in *Proc. Vehicular Technology Conf. (VTC)*, vol. 3, Oct. 2003, pp. 1880-1884.
- [8] A. Rajeswaran, V. S. Somayazulu and J. R. Forester, "Rake Performance for a Pulse Based UWB System in a Realistic UWB Indoor Channel", in *Proc. IEEE Int. Conf. Commun. (ICC)*, vol. 4, May 2003, pp. 2829-2833.
- [9] Y. Ishyama and T. Ohtsuki, "Performance Evaluation of UWB- IR and DS-UWB with MMSE-frequency Domain Equalization (FDE)", in *Proc. IEEE Global Telecommun. Conf. (Globecom)*, vol. 5, Nov-dec 2004, pp. 3093-3097.
- [10] J.F. Foerster, M. Pendergrass and A. F. Molisch, "A Channel Model for Ultrawideband Indoor Communication", *MERL (Mitsubishi Electric Research Laboratory) Report TR-2003-73*, Nov. 2003.