# Design of a Robust Neuro-Controller for Complex Dynamic Systems

Ki-Young Song and Madan M. Gupta\*

Division of Biomedical Engineering University of Saskatchewan Saskatoon, Canada Debashisha Jena and Bidyadhar Subudhi

Department of Electrical Engineering National Institute of Technology Rourkela, India

\*Corresponding author: madan.gupta@usask.ca

Abstract- Design of neuro-controller for complex dynamic systems is a big challenge faced by the researchers. In this paper we present a design of a robust neuro-controller for a dynamic system to make the system response fast with no overshoot. Here the control action decided by the controller completely depends on the value of the error at that point of time. The position feedback which controls the bandwidth of the system as well as the dynamic response is a function of the system error. For large error the position feedback is made large increasing the bandwidth of the system, and for small errors the position feedback value is small. Thus, during the dynamic response of the system the bandwidth of the system is controlled by the system error. Similarly, the velocity feedback which controls the damping in the system is kept very small for large errors, and large for small errors. Thus, in the proposed neuro-controller the position feedback  $K_p(e, t)$ , and velocity feedback  $K_{\nu}(e, t)$  are made as a function of error which yields a very fast response with no or very little overshoot.

Keywords – robust neuro-controller; position feedback; velocity feedback; Neural Networks

#### I. INTRODUCTION

Neural networks (NNs) are now being used as a function to identify linear and nonlinear dynamic systems in engineering. The NNs have established their usefulness in such fields as function mapping, pattern recognition, and image processing. NNs have potential of developing attributes such as parallelism, adaptability, robustness, and the inherent ability to handle nonlinearity [1]. Recently, higher-order artificial neural networks have been studied in order to emulate and present a superior performance of the nonlinear functions of the biological neural networks [2-7]. The artificial higher-order neural units consist of a combination of synaptic operation and somatic operation which are fundamental processes referred to as static or feedforward structures [4, 8]. The mathematical representation of the neural units with  $m^{\text{th}}$  order synaptic operation can be written as

$$v = \sum_{i_1=0}^{n} \sum_{i_2=i_1}^{n} \cdots \sum_{i_m=i_{m-1}}^{n} w_{i_1 i_2 \dots i_m} x_{i_1} x_{i_2} \dots x_{i_m}$$
(1)

where  $x_{i_j}$ ,  $i_j = 0, 1, ..., n$  are the neural inputs and  $w_{i_1 i_2 ... i_m}$ ,  $i_j = 0, 1, ..., n$  are the synaptic weights. The output is given by the somatic operation,

$$y_N = \Phi[v] \in \mathfrak{R}^1 \tag{2}$$

where  $v_N$  is an output scalar, and  $\Phi[\bullet]$  is a sigmoidal activation function [2]. If the error is reduced to an infinitesimally small value as the number of learning iterations increases, the learning scheme is said to be convergent [9]. However, biological neural systems are composed of recurrent connections and more sophisticated [10-12]. Thus, the limited nonlinear combination of a mathematical neural structure is not fully able to describe the complexity of the biological neuron. We expand the conventional mathematical synaptic operation with functionalized nonlinear combinations such as exponential, absolute and sinusoidal functions. The functionalized nonlinearity of a neural structure can be selected depending on the applications. In this paper, we apply the functionalized nonlinearity in the synaptic operation and present that a suitable nonlinearity of a novel neurocontroller can make the control system response faster, more stable and robust. For a simulation purpose, a second-order system, satellite model, is applied.

#### II. DESIGN OF A ROBUST NEURO- CONTROLLER

Now we present the design of a robust neuro-controller using some of the observations made in the above sections for a second order dynamic system.

## A. Dynamic Response of a second-order system: An example

Generally the dynamic behavior of a second-order system can be described in terms of two parameters, undamped natural frequency ( $\omega_n$ ) and damping ratio ( $\zeta$ ). Those are a function of position feedback ( $K_p$ ) and mainly a function of velocity feedback ( $K_v$ ) respectively as Fig. 1 and Eqn.(3).

 $\dot{x}_1 = x_2$ 

## 978-1-4244-4577-6/09/\$25.00 ©2009 IEEE

$$\dot{x}_2 = u = r - \left(K_v x_2 + K_p x_1\right)$$
  

$$y = K_p x_1$$
(3)

where *r* is the reference input and the initial conditions are  $x_1(0) = 0$  and  $x_2(0) = 0$ . The transfer function of the system is derived as

$$\frac{Y(s)}{R(s)} = G(s) = \frac{K_p}{s^2 + K_v s + K_p}$$
(4)

The characteristic equation of G(s) can be compared with that of the standard form of the second-order system. Thus,

$$s^{2} + K_{v}s + K_{p} = s^{2} + 2\zeta\omega_{n}s + \omega_{n}^{2}$$
$$\omega_{n} = \sqrt{K_{q}}$$
$$\zeta = \frac{K_{v}}{2\sqrt{K_{p}}}$$
$$\omega_{d} = \omega_{n}\sqrt{1 - \zeta^{2}}$$
(5)

where  $\omega_n$  is undamped natural frequency,  $\zeta$  is damping ratio and  $\omega_d$  is damped natural frequency [15]. The definition of the parameters is illustrated in Fig. 2.



Figure 1. A typical second-order system with velocity feedback  $(K_v)$  and position feedback  $(K_p)$ 



Figure 2. Definition of the parameters

The transient response of a practical control system often exhibits damped oscillations before reaching steady state. In specifying the transient-response characteristics of a control system to a unit-step input (Fig. 3), it is common to specify the following [13-15]:

- Delay time, Td
- Rise time, Tr
- Peak time, Tp
- Maximum overshoot, Mp
- Settling time, Ts

Since the rise time (Tr) and settling time (Ts) are defined as

$$Tr = \frac{\pi - \theta}{\omega_d} = \frac{\pi - \theta}{\omega_n \sqrt{1 - \zeta^2}} \text{ and,}$$
$$Ts = \frac{4}{\zeta \omega_n} (2\% \text{ criterion}) \tag{6}$$

it is important to notice that Tr and Ts are dependent on undamped natural frequency  $(\omega_n)$  and damping ratio  $(\zeta)$ which decide the positions of poles of a system as shown in Fig. 2.



Figure 3. A typical system response to a unit-step input



Figure 4. System responses to a unit-step input with different pole positions which lead underdamped case and overdamped case. The initial conditions of the systems are zeros. The optimal system response can be considered with optimal pole positions.



Figure 5. Errors from the different system responses

The system responses and the errors to a unit-step input with different pole positions are shown in Fig. 4 and Fig. 5. The transient response of the underdamped system yields larger Mp and slower Ts, but faster Tr. However, in the case of the overdamped system, the transient response presents no Mp, but slower Tr and Ts are acheived. Regarding the transient responses from the two systems, an optimal system response can be proposed considering  $\omega_n$  and  $\zeta$ .

As observed in the previous section, for large errors the small  $\zeta$  and large  $\omega_n$  will yield a very fast response with a very small rise time,  $Tr = \frac{\pi - \cos^{-1}(\zeta)}{\omega_n \sqrt{1-\zeta^2}}$ , and for small errors a

large  $\zeta$  and small  $\omega_n$  will inhibit any overshoot. Thus, if we make  $K_p(=\omega_n^2)$  and  $K_v(=2\zeta\omega_n)$  as a function of system error e(t) = r(t) - y(t) then we can achieve a very fast dynamic response with no overshoot, small rise time and fast settling time. Thus, we propose the following design parameters. In this example, there are two design parameters:

- Position feedback:  $K_p$  which controls the natural frequency of the system  $\omega_n$  i.e.  $K_p = \omega_n^2$
- Velocity feedback:  $K_v$  which controls the damping ratio  $\zeta$  i.e.  $K_v = 2\zeta\omega_n$

Thus, the controller design criteria are:

- 1. When the system error is large,  $\zeta$  should be very small with large  $\omega_n$
- 2. When the system error is small,  $\zeta$  should be large with small  $\omega_n$

Thus we can make  $K_p$  and  $K_v$  as a function of error such that  $(K_p = \omega_n^2)$  change from a large value to a small value with decreasing error and  $(K_v = 2\zeta\omega_n)$  change from a very small value to a large value with decreasing error.

One can develop many  $K_p$  and  $K_v$  functions which satisfy the above two criteria. One such proposed design for  $K_p$  and  $K_v$  is

$$K_p = K_{p0}(1 + \alpha e^2)$$
(7)

$$K_{\nu} = K_{\nu 0} \operatorname{Exp}(-\beta e^2) \tag{8}$$

where  $\alpha$  and  $\beta$  are some gain constants,  $K_p$  and  $K_v$  are equivalent to the time varying synaptic weights  $w_l$  and  $w_2$ , and  $K_{p0}$  and  $K_{v0}$  are initial values of  $K_p$  and  $K_v$ . The other possible functions for  $K_p(e,t)$  and  $K_v(e,t)$  are given in Table 1.

## III. SIMULATION STUDIES

As discussed, an optimal transient response of a system yields faster settling time, faster rise time and smaller overshoot. In order to meet the proposed status, when the system starts, lower damping ratio is applied and makes the system response faster, but larger damping ratio is applied to make the system stable when the system response is near the target value.

TABLE 1. VARIOUS FUNCTIONS FOR POSITION AND VELOCITY FEEDBACK GAINS	
$K_p(e,t)$	$K_v(e,t)$
$K_{p0}(1+\alpha e )$	$K_{\nu 0} \frac{1}{1 + \beta  e }$
$K_{p0}(1+\alpha e^2)$	$K_{v0}\frac{1}{1+\beta e^2}$
$K_{p0}(1+\alpha e^2)$	$K_{v0} \operatorname{Exp}(-\beta e^2)$

Note: Many other functions can be derived for  $K_p(e,t)$  and  $K_s(e,t)$  for example taking the hyperbolic tangent (tanh(•)) and cosine (cos(•)) functions.

Since the natural frequency determines the rise time and settling time as well as expressed in Eqn.(6), we design a neuro-controller considering both damping ratio and natural frequency. A satellite model is used as an example for the simulation study.

#### A. Satellite positioning control: An example

Satellite usually needs a decent control to adjust its antenna to the station on the earth by rotation as shown in Fig. 6. The rotation angle  $\theta$  of the satellite is determined by the force of gas jet on the satellite. The motion of the satellite is derived by basic Newton's law as

$$2RF(t) = J\ddot{\theta}(t),\tag{9}$$

and the rotation angle  $\theta$  of the satellite. Thus, the transfer function of the satellite system is

$$\theta(s) = \frac{2RF(s)}{Js^2} \tag{10}$$

From Eqn.(10), it is clear that the transfer function of the satellite has two integrators and two states  $x_1$  and  $x_2$  which represent position and velocity of the satellite. A controller is implemented to control the satellite. In order to control the satellite stable, for the zero steady state error, the closed loop poles need to be positioned on the real axis, which yields an overdamped system. Thus, we allocate two poles at the position of -1 and -3, which makes the value of velocity feedback ( $K_v$ ) and position feedback ( $K_p$ ) 4 and 3 respectively (Fig. 1). The system response and error curves with the given value of  $K_v$  and  $K_p$  is shown in Fig. 7.



Figure 6. Schematic satellite positioning by jetting gas to rotate

The result explains that this overdamped system is very stable and does not generate overshoot (Mp). The rise time (Tr) of the system is 2.67 seconds. However, the high damping ratio ( $\zeta$ ) renders the system sluggish to reach the settling time (Ts). In order to develop the system response as the way that the response yields faster Tr and lower Mp, we

design and implement a neuro-controller in the satellite model. Since the overdamped system is very stable, the object of the neuro-controller is to adapt the stable system with faster Tr as the design criteria. That is to say, the system is underdamped at the starting point and overdamped when the system is near the target.

# B. Nonlinear neuro-controller

An optimal damping would make a system response very quickly with minimal overshooting. The nonlinearity of the controller can generate an optimal damping. A neurocontroller can be applied to meet the nonlinearity of a desired controller, which refers the cross-relation of position and velocity.



Figure 7. The system output and error of the satellite position control with overdamping to a unit-step input. Mp = 0 and Tr = 2.67 (sec).

A proposed neuro-controller for the satellite is shown in Fig. 8. The velocity feedback  $(K_v)$  and position feedback  $(K_p)$  are adapted by the system error. The synaptic operation of a neural structure is derived with given two inputs, position  $(x_1)$  and velocity  $(x_2)$ , and generates the control signal u as

$$u = r - (K_{\nu}x_2 + K_{\mu}x_1) \tag{11}$$

where *r* is the reference input. Thus,  $K_p$  and  $K_v$  are derived with respect to the error as,

$$K_p = K_{p0}(1 + \alpha e^2)$$
 (12)

$$K_{\nu} = K_{\nu 0} \operatorname{Exp}(-\beta e^2) \tag{13}$$

$$e = r - y \tag{14}$$

where  $K_{p0}$  and  $K_{v0}$  are the initial values of  $K_p$  and  $K_v$ ,  $\alpha$  and  $\beta$ are the gain constants,  $\text{Exp}(\bullet)$  is the exponential function, eis the system error, and y is the system output. For this satellite positioning control,  $K_p$  is proportional to the undamped natural frequency  $(\omega_n)$ , and  $K_v$  is proportional to damping ratio ( $\zeta$ ) from Eqn.(5). By the proposed criteria,  $\omega_n$ should decrease and  $\zeta$  should increase as the error decreases.

Now, we design the neuro-controller with the selected functions and the proposed criteria. Considering pole positions, we arrange the initial poles at  $0.1\pm j2$  and final poles at -1 and -3 on the real-imaginary plane. The initial

poles of the closed-loop system lead fast *Tr*. However, if the position of the poles is firm, the system becomes unstable.



Thus, the poles move to the desired position as the error becomes zero. Regarding the error of the system which responses to a unit-step input, e = 1 at t = 0 and e = 0 at  $t = \infty$  as shown in Fig. 7. From the initial and final positions of the poles and the value of the error, the values of  $K_{p0}$ ,  $K_{v0}$ ,  $\alpha$  and  $\beta$  are calculated as 4, 3, 0.3367 and 2.9957 respectively. From Eqns.(5), (12), (13) and (14), the desired value of  $\omega_n$  and  $\zeta$  at time *t* are derived as

$$\omega_n(t) = \sqrt{K_{p0}(1 + \alpha(r(t) - y(t))^2)}$$
(15)

$$\zeta(t) = \frac{\kappa_{\nu 0} \exp(-\beta (r(t) - y(t))^2)}{2\sqrt{\kappa_{\mu 0} (1 + \alpha (r(t) - y(t))^2)}}$$
(16)

The system response and the error curves are shown in Fig. 9. The results compare the response curves of the previous result from overdamped system and neuro-control system.



As shown, about 53% of rise time is compensated without overshoot with the robust neuro-controller. In Fig. 10, the dynamic response curves of the system with the neurocontroller are plotted. At the beginning of the response,  $\zeta$  is small and  $\omega_n$  is high, which makes very small *Tr* with large system error. However, as the system reaches near the

target,  $\zeta$  becomes high and  $\omega_n$  is small, which drives the system stable with small error. Further, Figure 11 presents the locus of the poles with respect to the error which is named as error based dynamic pole locus. It is clear from the figures that as the error decreases, the poles are approaching faster to their final position without yielding small or no overshoot.



Figure 10. Dynamic responses of the satellite system



(a) Error-based dynamic pole locus with respect to the system error. As the error decreases, the poles moves to the final positions.



(b) The poles of the system moves from from  $0.1\pm j2$  to -1 and -3

Figure 11. Pole placement with respect to the system error. The arrows indicates the decreasing error. The initial poles are positioned at  $0.1\pm j2$  and settles at -1 and -3 at the end.

# IV. CONCLUSION

In this paper we have suggested an error based neurocontroller for controlling the dynamic response of a complex dynamic system. The design of the neuro-controller is conceptually error based and using a satellite control problem. It is shown that the response is very fast yielding a very small rise time with no overshoot. Further work is under way to extend this neuro-controller design philosophy for higher order complex dynamic systems.

#### REFERENCES

- R. Griñó, G. Cembrano, and C. Torras, "Nonlinear System Identification Using Additive Dynamic Neural Networks: Two On-Line Approaches," *IEEE Trans. on Circuits and Systems Ifundamental Theory and Application*, vol. 47, pp. 150-165, 2000.
- [2] Z.-G. Hou, K.-Y. Song, M.M. Gupta, and M. Tan, "Neural Units with Higher-Order Synaptic Operations for Robotic Image Processing Applications," *Soft Comput*, vol. 11, pp. 221-228, 2007.
- [3] I. Bukovsky, S. Redlapalli, and M. M. Gupta, "Quadratic and Cubic Neural Units for Identification and Fast State Feedback Control of Unknown Non-Linear Dynamic Systems," in *Proceedings of the 4th International Symposium on Uncertainty Modelling and Analysis*: IEEE Computer Society, 2003.
- [4] M. M. Gupta, L. Jin, and N. Homma, *Static and dynamic neural networks : from fundamentals to advanced theory*. New York: Wiley, 2003.
- [5] K.-Y. Song, S. Redlapalli, and M. M. Gupta, "Cubic Neural Unit for Control Applications," in *Proceedings of the 4th International Symposium on Uncertainty Modelling and Analysis*: IEEE Computer Society, 2003.
- [6] S. Redlapalli, K.-Y. Song, and M. M. Gupta, "Development of Quadratic Neural Unit with Applications to Pattern Classification," in *Proceedings of the 4th International Symposium on Uncertainty Modelling and Analysis*: IEEE Computer Society, 2003.
- [7] Z.-G. Hou, K.-Y. Song, and M. M. Gupta, "Higher-Order Neural Units for Image Processing and Their Applications to Robot Routing Problems," in *Proceedings of the 6th International FLINS Conference on Applied Computational Intelligence (FLINS'04)* Blankenberge, Belgium: World Scientific, 2004.
- [8] D. H. Rao, "Development of Dynamic Neural Structures with Control Applications," in *Mechanical Engineering*. vol. Ph.D. Saskatoon: University of Saskatchewan, 1994.
- [9] K. S. Narendra and K. Parthasarthy, "Identification and Control of Dynamical Systems Using Neural Networks," *IEEE Trans. Neural Networks*, vol. 1, pp. 4-27, 1990.
- [10] J. A. Anderson, "Cognitive and Psychological Computation with Neural Models," *IEEE Trans. Systems, Man and Cybernetics*, vol. 13, pp. 799-815, 1983.
- [11] J. J. Hopfield, "Neurons with Graded Response Have Collective Computational Properties Like of Those Two-State Neurons," *Proc.* of the National Academy of Sciences, vol. 81, pp. 3088-3092, 1984.
- [12] K. Fukushima, S. Miyake, and T. Ito, "Neocogniron: A Neural Network Model for a Mechanism of Visual Pattern Recognition," *IEEE trans. Systems, Man and Cybernetics*, vol. 13, pp. 826-834, 1983.
- [13] M. M. Gupta, Adaptive Methods for Control System Design. New York: IEEE press, 1986.
- [14] J. R. Leigh, Control Theory 2nd ed vol. 64. London, 2004.
- [15] K. Ogata, Modern Control Engineering, 4th ed. New Jersey: Prentice-Hall, 2002.